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APPLICATION NUMBER: 60/507,677 FILING DATE: September 30, 2003

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

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| Given Name (first and middle (if any) | | Family Name or Surname | | Residence (City and either State or Foreign Country) | | | |
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| Additional inventors are be | eing named on the | separately numbered sheets attached hereto | | | | | |
| | TIT | LE OF THE INVENTION (| 500 characters | s max) | | | |
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PTO/SB/17 (08-03)

375 For each additional invention to be

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900 Request for expedited examination

of a design application

375 Request for Continued Examination (RCE)

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Approved for use through 07/31/2006. OMB 0651-0032

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Compl t If Known **FEE TRANSMITTAL** TBD Application Number SEPTEMBER 30. 2003 Filing Date for FY 2003 **GIORDANO** First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 03-40171-USPR TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Money Order Other None Check Credit card Large Entity | Small Entity X Deposit Account: Foo **Fee Description** Fee Pald Code (\$) Code (\$) Deposit 18-0586 65 Surcharge - late filing fee or oath 2051 Account 130 1051 Number Surcharge - late provisional filing fee or 50 2052 25 1052 Deposit REED SMITH cover sheet Account 130 Non-English specification 130 1053 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filling a request for ex parte reexamination 1812 2,520 Credit any overpayments X Charge fee(s) indicated below 920* Requesting publication of SIR prior to Examiner action 1804 9201 1804 X Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1,840° 1805 1.8401 Examiner action to the above-identified deposit account. Extension for reply within first month 2251 55 1251 110 FEE CALCULATION 205 Extension for reply within second month 410 2252 1252 1. BASIC FILING FEE 465 Extension for reply within third month 930 2253 1253 arge Entity Small Entity 725 Extension for reply within fourth month Fee Paid 2254 Fee Description 1254 1,450 Fee Fee Code (\$) 985 Extension for reply within fifth month 2255 1255 1.970 Utility filing fee 2001 375 1001 750 320 2401 160 Notice of Appeal 1401 2002 165 Design filing fee 1002 330 160 Filing a brief in support of an appeal 320 2402 1402 Plant filing fee 2003 260 1003 520 140 Request for oral hearing 1403 280 2403 Reissue filing fee 2004 375 1004 750 1,510 Petition to institute a public use proceeding 1451 80.00 1451 1.510 Provisional filing fee 2005 80 1005 160 55 Petition to revive - unavoidable 2452 1452 110 80.00 SUBTOTAL (1) (\$) 650 Petition to revive - unintentional 2453 1453 1,300 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,300 2501 650 Utility issue fee (or reissue) Fee Paid 2502 235 Design issue fee 1502 470 Extra Claims below 315 Plant issue fee 2503 Total Claims 1503 630 130 Petitions to the Commissioner Independent 130 1460 1460 Claims Multiple Dependent 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 180 Submission of Information Disclosure Stmt 1806 180 1806 arge Entity Small Entity 40 Recording each patent assignment per Fee Description Fee 8021 40 8021 property (times number of properties) Code (\$) Code (\$) Claims in excess of 20 375 Filing a submission after final rejection 2202 1202 18 750 2809 1809 (37 CFR 1.129(a)) Independent claims in excess of 3 2201 42 1201 84

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| Name (Print/Type) | NANDA P.B.A. KUMAR | Registration No. 44,853 | Telephor | ne (215) 241-7991 |
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Multiple dependent claim, if not paid

** Reissue independent claims

and over original patent

** Reissue claims in excess of 20

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over original patent

SUBTOTAL (2)

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EXPRESS MAIL CERTIFICATE (37 CFR 1.10)

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Name: Nanda P.B.A. Kumar

Signature

September 30, 2003

MS Provisional Patent Application

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

New Provisional Patent Application

Applicant: Thanoo Filing Date: herewith

For: GENE MODULATION BY RB2/p130 EXPRESSION

Docket No. 03-40171-USPR (869233.20001)

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

- 1. Provisional Application For Patent Cover Sheet;
- 2. Fee Transmittal for FY 2003;
- 3. A check in the amount of \$80.00 to cover the filing fee for a provisional application;
- 4. Application consisting of 74 pages of specification and 19 sheets of drawings; and
- 5. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

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Commissioner for Patents September 30, 2003 Page 2

The Commissioner is hereby authorized to charge any fees due in connection with this filing to Deposit Account No. 18-0586.

Respectfully submitted,

Nanda P.B.A. Kumar Registration No. 44,853

NPK Enclosures

GENE MODULATION BY RB2/P130 EXPRESSION

The retinoblastoma gene family consisting of RB1/p105, p107, and RB2/p130 cooperate to regulate cell-cycle progression through the G1 phase of the cell cycle. Previous data demonstrated an independent role for the reduction or loss of pRb2/p130 expression in the formation and/or progression of lung carcinoma. Rb2/ p130 is mutated in a human cell line of lung small cell carcinoma as well as in primary lung tumors. To identify potential pRb2/p130 target genes in an unbiased manner, we have utilized an adenovirus-mediated expression system of pRb2/p130 in a non-small lung cancer cell line to identify specific genes that are regulated by pRb2/p130. Using oligonucleotide arrays, a number of Rb2/p130 downregulated genes were identified and their regulation was confirmed by semiquantitative reverse transcriptionpolymerase chain reaction (RT-PCR) and Western blot analysis. As a result, 40 genes showed greater than 2.0fold modification in their expression level after the RB2/ p130 viral transduction. In conclusion, coupling adenoviral overexpression with microarray and semiquantitative RT-PCR analyses proved to be a versatile strategy for identifying pRb2/p130 target genes and for better understanding the expression profiles of these genes. Our results may also contribute to identifying novel therapeutic biomarkers in lung carcinoma.

Oncogene (2003) 0, 000-000. doi:10.1038/sj.onc.1206866

Keywords: lung cancer; Rb2/pl30; microarray analysis

Introduction

Lung cancer is the leading cause of cancer death worldwide and one of the most common malignancies diagnosed in the United States. The American Cancer Society estimated that 169 500 new cases of lung cancer would be diagnosed in 2001 and that 157 400 people

would die of the disease that year. Data from the American Cancer Society for the year 2002 indicate that 169 400 new cases of lung cancer are estimated to occur and 154 900 patients are expected to die because of lung cancer (Greenlee et al., 2001). Additionally, lung cancer is usually diagnosed at an incurable stage.

The high mortality rate for lung cancer probably results from the absence of effective therapies as well as standard diagnostic procedures of early tumoral stages compared with colon, breast, and prostate cancers (Wiest et al., 1997). The majority of bronchogenic carcinomas can be classified into four histological types: small cell lung carcinomas, adenocarcinomas, squamous cell lung carcinomas, and large cell carcinomas. Small cell lung carcinomas are a separate entity, whereas the behavior of the other three histological subtypes is similar, for this reason these are grouped within the non-small cell lung cancer (NSCLC) type. NSCLC accounts for nearly 80% of lung malignant tumors and it is associated with a poor prognosis.

Lung cancer is the result of molecular changes in the cell, resulting in the deregulation of pathways that control normal cellular growth, differentiation, and apoptosis. In this scenario, proto-oncogenes and tumor suppressor genes are found to be mutated or have abnormal expression patterns in this disease. Although much is known about the natural history, predisposing factors, and outcome of NSCLC, the understanding of this disease is still unclear. Even though many molecular changes associated with NSCLC have been reported (Hibi et al., 1998; Forgacs et al., 2001), a fully understood mechanism associated with this type of cancer has not been described yet.

The retinoblastoma gene family consisting of RBI, p107, and RB2/p130 cooperate to regulate cell-cycle progression through the GI phase of the cell cycle. Products of RBI, p107, and RB2/p130 are characterized by a peculiar steric conformation, the 'pocket region', which is responsible for most of the functional interactions that characterize the activity of these proteins in cell-cycle homeostasis (Paggi et al., 1996). Rb family members are nuclear proteins that are regulated in a cell-cycle-dependent manner by phosphorylation, exhibit growth suppressive properties in a cell-type-dependent

manner, are implicated in various forms of differentiation, and are critical targets for inactivation by transforming oncoproteins of DNA tumor viruses.

During the past 6 years, the involvement of pRb2/p130 in lung cancer has been studied. In fact, immunohistochemical analysis of the expression patterns of the Rb family members (pRb/p105, p107, and pRb2/p130) in 235 specimens of lung cancer (Baldi et al., 1996) and the expression pattern of pRb2/p130 in 158 specimens of human lung cancer showed an inverse correlation between the histological grading of the tumors, the development of metastasis, and the level of expression of pRb2/p130 (Baldi et al., 1997). A statistically significant inverse relationship between the histological grading and the expression of pRb/p105, p107, and pRb2/p130 was found in fine needle aspiration biopsies of squamous cell carcinoma patients (Minimo et al., 1999).

Point mutation in the RB2/p130 gene determining protein loss in a cell line of human small cell lung carcinoma (Helin et al., 1997) and identification of point mutations in primary lung cancer (Claudio et al., 2000) were found, indicating that pRb2/p130 may play a role in the pathogenesis and progression of certain lung cancers.

We have utilized an adenovirus-mediated expression of RB2/p130 in the H23 NSCLC cell line to identify specific genes that are regulated by pRb2/p130. Using oligonucleotide arrays, a number of downregulated genes by pRb2/p130 were identified and their modulation was confirmed by semiquantitative reverse transcription-polymerase chain reaction (RT-PCR) and Western blot analysis.

Materials and methods

Cell lines

The human lung adenocarcinoma cell line H23 has been described previously (Claudio et al., 2000). The packaging cell line 293 (primary embryonal human kidney cells) transformed by sheared human adenovirus type 5 has also been previously described (Claudio et al., 1999). H23 cells were maintained in DMEM supplemented with 10% fetal bovine serum. 2 mm L-glutamine. 293 cells were maintained in DMEM supplemented with 10% heat-inactivated fetal bovine serum. 2 mm L-glutamine.

Adenoviruses

Adenoviruses were generated by subcloning the full-length ORF of the RB2/p130 gene into the pAd.CMV-Link1 vector to form the Ad.CMV-RB2/p130 virus, as described previously (Davis et al., 1998: Claudio et al., 1999). The pAd.CMV-Link1 vector alone (to produce the Ad-CMV virus) was used as a negative control to assay the effects of viral infection alone without delivering a transgene. Adenoviruses were expanded, purified, and tittered as previously described (Claudio et al., 1999).

Flow cytometry analysis

Flow cytometry analysis (FACS) of exponentially growing H23 cells or H23 cells transduced with Ad-CMV or Ad-CMV-

Rb2/p130 were carried out as previously described (Claudio et al., 1996). Briefly, 5 × 10³ cells were seeded and 24 h after the cells were transduced with 50 multiplicity of infection (MOI) of adenoviruses. At 48 h after transduction, cells were collected and analysed using a Coulter Flow cytometer.

Microarray analysis

Before submission of RNA samples for analysis, protein extracts prepared from replicate plates of the corresponding cell culture were unalysed for expected enhanced expression of pRb2/p130 using Western blots. Oligonucleotide-based microarrays were purchased from Mergen (Mergen Ltd. San Leandro, CA, USA). The ExpressChip H05000 DNA microarray system was used for this study. This array contains more than 3200 genes that are involved in a variety of different processes. DNase-treated total RNA (20 µg) from H23 (parental cells). H23 cells transduced with Ad-CMV, or Ad-CMV-RB2/p130 cell lines 48 h after transduction were extracted using TRIzol (Life Technologies, Inc., Grand Island, NY, USA) according to the manufacturer's protocol. RNA integrity was verified for lack of degradation by formaldehyde gel electrophoresis. The biotin-labeled cRNA probes preparation, hybridization, and array scanning were performed using Mergen Labeling/Hybridization/Detection Service. Data acquisition and data analysis were performed using Imagene software (Biodiscovery Inc., Marina del Rey, CA, USA) and Mergen's ExpressData™ software (Mergen Ltd, San Leandro, CA, USA). Briefly, data were processed for local background correction and normalization. The raw spot for each gene was calculated as the mean signal of the spot values minus that of the local background. The I_{max} value was set to 65000, after local background removal. A normalization coefficient (N) was applied to either the control population or to sample spot raw values to compensate for slide-to-slide and probe-to-probe variations. The normalization coefficient was applied only if (Raw_spot/N) $< I_{max}$, otherwise values were set to I_{max} . Normalized values ≤ 0 were excluded from the analysis. Genes regulated by adenovirus transduction (Ad-CMV) with respect to the parental cell line were removed from the analysis. Spots with mean intensities >45000 were excluded for the ratio analysis. The expression ratios calculated with corrected values less than mean of the local background on both channels were not used. Expression ratios of the analysed genes were calculated comparing genes' expression values of H23 cells transduced with RB2/p130 with those of parental H23 or H23 cells transduced with Ad-CMV. A twofold or higher levels of target genes' expression ratio was considered significant, in accordance with most of the literature.

Northern blot analysis

H23 cells were grown to 60% confluency, then infected with 50 MOI of adenoviruses carrying the RB2/p130 gene or with the control Ad-CMV. After 14h, the medium was changed, and cells were harvested at 48h from the transduction. DNAse-treated total RNA from H23, H23-Ad-CMV, and H23-Ad-CMV-Rb2/p130 transduced cells were extracted using TRIzol (Life Technologies, Inc., Grand Island, NY, USA) according to the manufacturer's protocol. Northern blot analysis was performed as previously described (Claudio et al., 1994).

Semiquantitative RT-PCR

RT-PCR was used to analyse target gene expression in the present study. A 2 µg aliquot of DNAse-treated total RNA from each sample was reverse transcribed for single-stranded

cDNAs using M-MLV reverse transcriptuse (Invitrogen. Carlsbad, CA, USA) according to the manufacturer's protocol. The same cDNA product obtained from each sample was used for subsequent PCR amplification with the primer sets prepared for the target gene and β -actin (Act- β)/HPRT housekeeping genes. The amplification of the β -actin and HPRT genes were used as double internal control. The ratio between the samples and each housekeeping gene was calculated to normalize for initial variations in sample concentration and as a control for reaction efficiency. Primer sequences were designed using the software Primer 3 (developed by Steve Rozen. Helen J Skaletsky) available on-line at http://www-genome.wi.mit.edu. Primer sequences can be provided upon request. PCR reaction conditions were individually optimized for each gene product studied and the number of PCR cycles was set up to be within the linear range of product amplification.

In each experiment, possible DNA contamination was determined by a control reaction in which reverse transcriptase was omitted from the reaction mixture. PCR products were loaded onto ethidium bromide stained 1.5% agarose gels. Densitometric analyses of the PCR products were performed using an Alpha Imager system (Alpha Innotech Corporation, San Leandro, CA, USA) and the ImageJ v1.29 software (developed by Wayne Rasband) available on-line at http://rsb.info.nih.gov/ij/. All PCR products were purified using QIAquick PCR purification kit (Qiagen, Santa Clarita, CA, USA) and their identities verified by automated DNA forward and reverse sequencing using a dideoxy terminator reaction chemistry for sequence analysis on the Applied biosystem Model 373A DNA sequencer.

Western blot analysis and antibodies

Western blot analysis of exponentially growing H23 cells or of H23 cells transduced with Ad-CMV or Ad-CMV-Rb2/p130 were carried out as previously described (Claudio et al., 1999). Extracts were normalized for protein content by Bradford analysis (Bio-Rad Laboratories, Inc., Melville, NY, USA) and Coommasie blue gel staining.

Primary anti-B-MYB, E2F-1, KPNA2, MKK3, NIK, PCNA, PLK, RAF1 (Santa Cruz Biotechnology, Inc., Santa Cruz, CA, USA), anti-MAGE-A (Upstate, Lake Placid, NY, USA), and anti-HSP70 (Oncogene Science, Cambridge, MA, USA) were used following the manufacturer's instructions.

Results

Effects of RB21p130 adenoviral transduction on the H23. lung adenocarcinoma cell line

H23 cells were plated at a density of 5×10^5 in four 10-cm tissue culture dishes. Cells were transduced with 50 MOI of the control Ad-CMV or Ad-CMV-RB2/p130 and harvested after 48 h. Two tissue culture dishes were used to extract mRNA. One tissue culture dish was used to extract the proteins and one for FACS analysis.

Northern blot analysis of samples transduced with RB2/p130 showed an increased expression of the RB2/p130 transcript more than 20-fold with respect to the control (Figure 1a). Western blot analysis showed more than 100-fold enhanced expression of pRb2/p130 in the Ad-CMV-RB2/p130 transduced cells (Figure 1b).

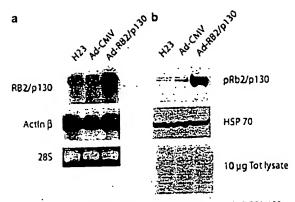


Figure 1 Adenovirus-mediated overexpression of RB2/p130. Northern blot and Western blot analyses (a, b) of RB2/p130 in H23. H23-Ad-CMV, and H23 Ad-CMV-RB2/p130 NSCLC cell line.

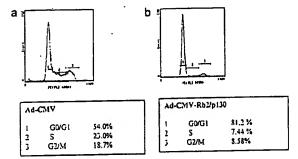


Figure 2 Effects of pRb2/p130-enhanced expression in H25 cells. FACS analysis of H23-Ad-CMV (a) and H23-Ad-CMV-Rb2/p130 (b) infected cells. Rb2/p130 overexpression resulted in 81.2% of the cells being accumulated in the G0/G1 phase of the cell cycle when compared to the empty adenovirus (54%). The analysis was performed in triplicates with comparable results

To confirm the effects of pRb2/pl30-enhanced expression in H23 cells, we performed FACS analysis. Figure 2 shows that adenoviral Rb2/pl30 transduction resulted in 81.2% of the cells accumulated in the G0/G1 phase of the cell cycle when compared to the control (54%).

Oligonucleotide microarray assay following enhanced expression of pRb2/pl30 in a human lung adenocarcinoma cell line

H23 cells were transduced with Ad-CMV or Ad-CMV-RB2/p130. After 48 h, 20 μg of DNA-free total RNA from H23. H23-Ad-CMV, or H23-Ad-CMV-RB2/p130 cells was reverse transcribed and the double-strand cDNA was used as a template to generate Cy3-labeled cRNA probes and then hybridized to the Mergen H05000 oligonucleotide-based microarray containing more than 3200 genes that are involved in a variety of different processes. Analysis was performed by Mergen Ltd (San Leandro, CA, USA). Microarray experiments were performed comparing H23 vs H23-Ad-CMV, H23-Ad-CMV vs H23-Ad-CMV-RB2/p130, and H23 vs

-

H23-Ad-CMV-RB2/p130 cells. Duplicate experiments were carried out on a single total RNA preparation from the cells.

In this study. 40 genes were downregulated more than 2.0-fold (Table 1). Figure 3 shows the plots of the differential expression of 3263 genes in H23-Ad-CMV vs H23-Ad-CMV-RB2/p130 cells and H23 vs H23-Ad-CMV-RB2/p130 cells. Overall, the expression of the majority of the spotted genes was not altered by RB2/p130. Modulated genes were classified in Table 2 on the basis of a well-documented and established biological or pathological function of the encoded protein. The genes downregulated by pRb2/p130-enhanced expression belong mainly to the following categories: cell division; signaling and communication: cell structure and motility; gene expression; metabolism; and disease.

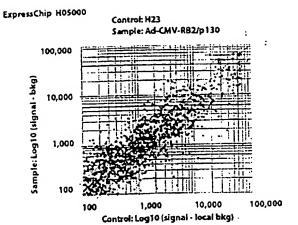
Validation of the oligonucleotide microarray assay using semiquantitative RT-PCR and Western hlot analysis

To determine the gene expression level of specific pRb2/p130 target genes, semiquantitative RT-PCR analysis was used. A panel of 11 genes, randomly selected among the 40 identified by microarray analysis, was analysed. We confirmed this by RT-PCR downregulation of B-MYB, Cyc B2, Cyc D1, GRPR, KPNA2, MKK3, NIK, PCNA, PIM, PLK, and RAF-1 (Figure 4). Genes highly downregulated (range between 6- and 17-fold) in microarray analysis such as PCNA, MKK3, B-MYB, and NIK showed a comparative downregulation in semiquantitative RT-PCR analysis between 7- and 3.5-fold. Genes still downregulated in microarray analysis, but at a lower extent such as RAF-1, PIM1, CycD1, GRPR, KPNA2, and CycB2, showed a comparable

Table 1 Downregulated genes by Rb2/p130 adenovirus-enhanced expression

| Gare | Roult TM ID | Table 1 Downregulated genes by Rb2/p130 adenovirus-enhanced ex | Gene symbol | Ratio I | Ratio 2 | Averag |
|----------|---------------|--|--------------|---------|------------|----------|
| Gent | Suik I III 10 | | | 16.4 | 17.5 | 16.9 |
| M15 | 796 | Proliferating cell nuclear antigen | PCNA MKK3 | 12.4 | 19.2 | 15.8 |
| L367 | 119 | Mitogen-activated protein kinase kinase 3 | B-MYB | 8.2 | 13 | 10.6 |
| X132 | 293 | V-myb avian mycloblastosis viral oncogene homolog-like 2 | CCNF | 6.6 | 8.7 | 7.6 |
| Z367 | 714 | Cyclin F | BUBIB | 8.9 | 6 | 7.4 |
| AF0 | 53306 | Budding uninhibited by benzimidazoles 1 (yeast homolog). β | PLK | 6.5 | 7.5 | 7 |
| L195 | | Polo (Drosophia)-like kinase | NIK | 5.6 | 7.6 | 6.6 |
| Y10: | | Mitogen-activated protein kinase kinase kinase kinase 4 | KNSL2 | 5 | 6.7 | 5.8 |
| D14 | | Kinesin-like 2 | PCSK7 | 4.4 | 6.5 | 5 |
| U33 | | Proprotein convertase subtilisin/kexin type 7 | CCNB2 | 5.3 | 4.2 | 4.1 |
| 0 AF0 | | Cyclin B2 | GPRK6 | 3.5 | 5.7 | 4.6 |
| L168 | | G protein-coupled receptor kinase 6 | HCFCI | 3.2 | 5.9 | 4. |
| 2 L200 | | Host cell factor C1 | PFAS | 3.7 | 3.5 | 4. |
| 3 AB0 | | FGAR amidotransferase | | 3.5 | 4.9 | 4. |
| 4 X63 | | DNA (cytosine-5-)-methyltransferase 1 | DNMTI | 3.5 | 4.1 | 3. |
| 5 U09 | | Karyopherin 2 2 | KPNA2 | 3.7 | 4 | 3. |
| 6 AF0 | | Serine/threonine kinase 15 | STKU | | 4.3 | 3. |
| | | TGFB-inducible early growth response | TIEG | 3.3 | 3.1 | 3 |
| 7 U21 | | Budding uninhibited by benzimiduzoles 1 (yeast homolog) | BUBI | 4.3 | 3.1 3.1 | 3 |
| 8 F04 | | ELK1, member of ETS oncogene family | ELKI | 3.1 | 3.1 | 3 |
| 9 M25 | | Unding monophosphate kinase | UMPK | 3.2 | 3.1 3.7 | ; |
| 0 D78 | | Putative receptor protein | PMI | 2.4 | | 2 |
| 1 X51 | | Calcium, calmodulin-dependent protein kinase kinase 2. β | CAMKK2 | 2.1 | 3.7 | . 3 |
| 2 AB0 | | Character mathematical 3 R | GSKJB | 3.2 | 2.6 | |
| 3 L33 | | Glycogen synthase kinase 3 β L-3-hydroxyacyl-Coenzyme A dehydrogenase, short chain | HADHSC | 3.2 | 2.6 | |
| 4 AF0 | | 2-3-nydroxyacyt-Cochzynic A denydrogendaet anbunit | POLDI | 2.5 | 3.3 | - 2 |
| 5 M8 | | Polymerase (DNA directed), & 1, catalytic subunit | NOLI | 2.7 | | . 3 |
| 6 M3 | | Nucleolar protein 1 | EMKI | 2.4 | 3.3 | 2 |
| 7 X97 | | ELKL motif kinasc | GRP-R | 2.7 | | 1 |
| 8 M7 | | Gastrin-releasing peptide receptor | XRCC3 | 2.5 | 3 | 2 |
| | 035586 | X-ray repair complementing defective repair in Chinese hamster cells 3 | CHK | 3 | 2.3 | 2 |
| 0 DIG | 0704 | Choline kinase | MAGEA3/6 | 2.1 | 3 | 2 |
| ווט וו | 0339 | Melanoma antigen, family A,3/6 | PPMIG | 2.3 | 2.8 | 2 |
| 32 Y I 3 | 3936 | Protein phosphatase 1G (formerly 2C), magnesium-dependent, gamma isoform | TRAF5 | . 2.2 | 2.7 | 2 |
| 3 AB | 000509 | TNF recentor-associated factor 2 | ABCF2 | 2.4 | 2.5 | |
| 4 AJ0 | 005016 | ATP-binding cassette, sub-family F (GCN20), member 2 | TEAD4 | 2.5 | 2.2 | : |
| 35 U63 | 382 | TEA domain family member 4 | PIMI | 2.2 | 2.3 | : |
| 6 M5 | 4915 | Pim-1 oncogene | CCNDI | 2.1 | 2.1 | |
| 37 X59 | | Cyclin D1 | CDRI | 2.2 | 2.1 | : |
| 38 M6 | | Cerebellar degeneration-related protein | PSMB2 | 2.2 | 2.1 | : |
| 39 D2 | | Protessome subunit R type, 2 | RAFI | 2.3 | 2 | : |
| 40 X0 | | V-raf-I murine leukemia viral oncogene homolog l | NOT 1 | | | |

Genes that are downregulated more than 2.0-fold in response to the enhanced expression of RB2/p130 by microarray analysis are listed. Genes were identified as unique as mentioned in the GenBank" and are sorted in descending order. Ratio 1 indicates the fold of repression for each gene as determined by microarray analysis of H23-Ad-CMV vs H23-Ad-CMV-RB2/p130. Ratio 2 indicates the fold of repression for each gene as determined by microarray analysis of H23 vs H23-Ad-CMV-RB2/p130.



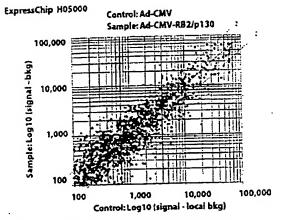


Figure 3 Global comparison of gene expression in H23 vs H23-Ad-CMV and H23-Ad-CMV vs H23-Ad-CMV-RB2 cells. Each dot corresponds to the Cy3 fluorescent intensity of one single element on the oligonucleotide microarray. A twofold change in expression is indicated with parallel lines marked as 2

downregulation in semiquantitative RT-PCR analysis between 3.4- and 2.0-fold. PLK, which showed a high downregulation ratio in microarray analysis, failed to be validated by semiquantitative RT-PCR. In fact, PLK showed almost a twofold difference expression level by RT-PCR.

Of the 11 transcriptionally downregulated genes that were studied by RT-PCR analysis, only seven genes (B-MYB, KPNA2, MKK3, NIK, PLK, and RAF-I) were found expressed by Western blot analysis at a lower level upon enhanced pRb2/p130 expression with a ratio between 1.9- and 3.0-fold (Figure 5). As the MAGE gene family is composed of 23 related genes divided into four clusters and the MAGE-A subfamily comprises 12 genes highly identical in their coding sequence, we were not able to perform RT-PCR on this gene family, but we could confirm by Western blot analysis the contingent. downregulation of MAGEA-3/6 to enhanced pRb2/p130 expression. Surprisingly. PCNA that was highly downregulated in the microarray analysis, also appearing modulated in RT-PCR, showed no protein expression

| Table 2 | Classification of | RB2/p130-repressed | genes by | category |
|---------|-------------------|--------------------|----------|----------|
| | | | | |

| Table 2 | Classification of RB2/p130-repressed ger | |
|---------------|--|-----------------|
| Category | | Genes |
| ATPase/GT | Pase/ATP binding/GTP binding | ABCF2 |
| | tussium/sodium/iron binding protein | KNSL2 CAMKK2 |
| Carcium/po | tassium/sodium, non omanig process | |
| Cell cycleje: | yetins | BUB1 BUB1B |
| | | CCNBI |
| | | CCNB2 |
| | | CCNDI |
| | | CCNF |
| | | B-MYB |
| | | NOLI |
| | | PCNA PLK |
| | • | PPMIG |
| C !! | * | ABCF2 |
| Ceil surface | anugen | CDR2 |
| • | | GPRK6 . |
| | • | GRP-R |
| | | KNSL2 |
| | | MAGE-A 3/6 |
| | | PCNA PMI |
| • | | |
| Chromosor | nc/chromatin/histonc | DNMT! PLK |
| | | XRCC3 |
| | | GRP-R |
| Cytokines | and growth factors | PCSK7 |
| | | TIEG |
| | | TRAF5 |
| Cytoskelete | on/microtubules/microfilaments/motility | CAMKK2 |
| Cytoskeich | , in in it is in it i | EMKI |
| | | KNSL2 |
| Differentia | tion/development | BUBI |
| J., | | GSK3B |
| | | B-MYB |
| | | NOLI |
| | | PIMI |
| | | PLK RAFI |
| | | TEAD4 |
| | | TIEG |
| Diseases | | B-MYB |
| Discases | | CCND+ |
| | | CDR2 |
| | | ELKI |
| | | MAGE-A 3/6 |
| | | NOL! |
| • | | PCSK7 PIMI |
| | • | RAFI |
| | | STK15 |
| | t and an ambiguitar | DWMTI |
| DNA bind | ing/dumage/recombination | POLDI |
| | | XRCC3 |
| C married to | regulators of G protein signaling | GPRK6 |
| O protein/ | regulators of O protein signating | GRP.R |
| | ~ | PMI |
| Hydrolaco | /hydrolysis/hydrolyses | 'ABCF2 |
| LI AGI OTOROC | (1,0101)313111/4101/440 | PCSK7 |
| • | | PPMIG |

| 6 | |
|---|---|
| Q | Ì |

| Table 2 Continued | |
|------------------------------------|---|
| Category | Genes |
| Kinases | BUBI BUBIB CAMKK2 CHK ELKI EMKI GPRK6 GSK3B MKK3 NIK PLK RAFI STK15 UMPK |
| Lipoproteins/lipids | СНК |
| Membrane trafficking | DNMTI KPNAZ |
| Mitochondrial proteins | ABCF2 HADHSC |
| Nuclear receptors/receptors | BUBI DNMTI ELKI GPRK6 GRP-R NOLI PCNA PMI POLDI PPMIG TIEG TRAF5 |
| Oncogenes . | B-MYB ELKI PIMI RAFI |
| Phosphatase/proteases/peptidase . | PCSK7 PPM1G PSMB2 |
| Signal transduction . | CAMKK2 GPRK6 GRP-R MKK3 NIK PMI TRAF5 |
| Synthetase/synthase | GSK3B PFAS |
| Transcription/transcription factor | B-MYB CDR2 ELK1 HCFC1 TEAD4 TIEG |

Classifies the analysed genes on the basis of established biological or pathological functions of the encoded proteins. Genes that are listed in one category are indicated in bold

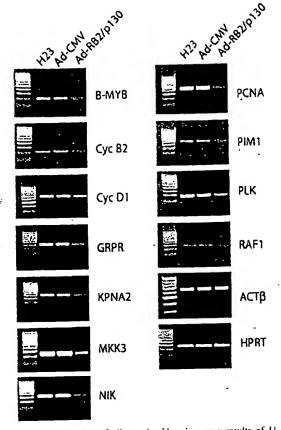


Figure 4 Validation of oligonucleotide microarray results of 11 selected genes by semiquantitative RT-PCR. RT-PCRs were performed using DNAse-treated total RNA of H23. H23-Ad-CMV, and H23-Ad-CMV-Rb2/p130 NSCLC cell line. Amplified fragments of B-MYB (194 bp), Cyc B2 (217 bp), Cyc D1 (463 bp) GRPR (377 bp), KPNA2 (304 bp), MKK3 (219 bp), NIK (317 bp). PCNA (420 bp), PIM1 (324 bp), PLK (154 bp), and RAF1 (280 bp) genes are indicated. ACT-β (626 bp) and HPRT (349 bp) genes were used as internal controls and were amplified from the same samples

changes upon enhanced pRb2/p130 expression. However, it has been shown that PCNA has a relatively long half-life that can extend beyond the Sphase into the M phase and beyond into the G0 phase of cells in rapidly proliferating tumors.

Discussion

ABCF2

DNMTI

PFAS

During the last 6 years, different studies demonstrated the involvement of RB2/p130 in lung cancer. We have shown an inverse correlation between histological grading of lung cancer and the expression pattern of the tumor suppressor gene pRb2/p130 (Baldi et al., 1996, 1997; Minimo et al., 1999). Nevertheless, the molecular mechanisms by which Rb2/p130 participate in lung cancer are not yet clear.

In the recent past, our group demonstrated that virally enhanced expression of pRb2/p130 caused about

Transporters

Transferases

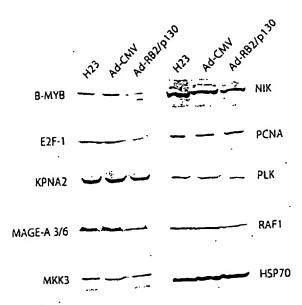


Figure 5 Validation of oligonucleotide microarray data by Western blot analysis. In all, 100 µg of protein extracts from H23, H23-Ad-CMV, and H23-Ad-CMV-Rb2/p130 cells were loaded onto SDS-PAGE gels and immunoblotted with antibodies anti B-MYB, E2F-1, MAGEA-3/6, MKK3, NIK, PCNA, PLK, and RAF1. Anti-HSP-70 was used as an internal control. The analysis was performed in duplicates with comparable results

a 3.2-fold or 69% tumor mass reduction in nucle mice. These data strongly suggest the gene therapeutical potential of RB2/p130 in lung cancer. In this view, the identification of genes modulated by enhanced pRb2/p130 expression could serve as a valuable tool in identifying new targets for gene therapy in lung cancer as well as to understand the molecular mechanisms behind this approach better. For these reasons, we decided to analyse the NSCLC H23 cells transduced with pRb2/p130.

In our study, we induced an overexpression on the RB2/p130 gene in H23 cells (human lung adenocarcinoma) using an adenoviral system and performed an oligonucleotide microarray analysis to measure and evaluate the gene's expression profile. We identified 40 genes that were downregulated more than 2.0-fold by pRb2/p130 adenovirus-mediated overexpression. Regulated genes were summarized in 24 categories as shown in Table 2. We applied a cutoff ratio of 2.0 that has been commonly used in many microarray data analyses previously developed. We confirmed the modulation of 11 selected genes by semiquantitative RT-PCR and of six by Western blot analysis (Figures 4 and 5).

B-MYB in our microarray analysis showed an almost 10-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction. Downregulation of B-MYB was confirmed by RT-PCR and Western blot analysis. B-MYB is a member of a family of transcription factors, which include c-MYB and A-MYB, that interact with the promoters of different genes involved in differentiation as well as cell cycle (Lyon et al., 1994). It has been demonstrated that B-Myb can

activate promoters, both via DNA binding-dependent and -independent mechanisms, following phosphorylation mediated by cyclin A/E-cdk2 kinase (Lane et al., 1997; Sala et al., 1997). B-MYB is a positive regulator of cell proliferation and its expression is required for the growth and survival of cells of different origins. B-MYB expression is stringently coupled to the proliferative state of the cell, and its overexpression promotes cell proliferation (Sala et al., 1996). It has been reported that pRb2/p130 increases during neuroblastoma differentiation with a parallel decrease of B-Myb (Raschella et al., 1997). Additionally, there is a large body of evidence that the negative regulation of B-MYB takes place, at least in part, at a transcriptional level (Raschella et al., 1996), through an E2F site in the promoter region of B-MYB when RB family proteins form complexes with E2F factors bound to DNA (Zwicker et al., 1996).

Recently, it was also demonstrated that B-Myb is abundantly expressed in primary lung cancers, suggesting that its overexpression may contribute to lung tumorigenesis (Hibi et al., 1998). Our microarray data corroborating those of RT-PCR and Western blotting are in accordance with previously published studies.

Cyclin B2 (Cyc B2) in our microarray analysis showed a 4.7-fold reduction of expression with respect to the control 48 h after pRb2/pl 30 transduction. Downregulation of Cyc B2 was confirmed by RT-PCR. Cyc B2 is a member of the cyclin family and a component of the B type. Recent data suggest that overexpression of human cyc B2, not due to mere gene amplification, could contribute through an alteration of the spindle checkpoint and chromosomal segregation, and to chromosomal instability of cancer cells, which is a phenotype persistently present in human cancers including lung cancer (Masuda and Takahashi. 2002).

Cyclin D1 (Cyc D1) showed a 2.1-fold reduction of expression with respect to the control 48 h after pRb2/ p130 transduction by microarray analysis. Downregulation of Cyc D1 was confirmed by RT-PCR. Cyc D1 is a cell-cycle regulator essential for GI phase progression and a candidate proto-oncogene implicated in the pathogenesis of several human tumor types. The Cvc DI gene maps to one of the most frequently amplified chromosomal regions (11q13) in human carcinomas (Motokura and Arnold, 1993) and it is frequently amplified in breast and lung cancer (Keyomarsi and Pardee. 1993). Additionally, Cyc DI is frequently overexpressed in the absence of genetic amplification in breast cancers (Gillett et al., 1994) and its overexpression is associated with advanced local invasion and the presence of lymph node metastases in head and neck carcinomas (Muller et al., 1997). Cvc D1 is amplified and overexpressed in NSCLC (Reissmann et al., 1999) and its expression has been shown to be a negative prognostic marker in lung cancer (Caputi et al., 1999), confirming the hypothesis of Schauer et al. (1994). In fact, it has been suggested that lung tumors may evade cell-cycle control through abnormal expression of eye D1. Additionally, eye D1 overexpression and lack of expression of retinoblastoma protein is frequently seen in lung cancer.

In our microarray, E2F-1 was one of the genes that, unfortunately, resulted in not being statistically significant. However, because recently it was shown that E2F-I can act either as an oncogene or as a tumor suppressor gene and that E2F-1 overexpression may contribute to the development of NSCLCs by promoting proliferation (Gorgoulis et al., 2002), we performed a promoter analysis of all the 11 selected genes using the TESS software searching for E2F-1 and B-Myb DNA-binding regulatory regions. We found that the GRPR, MAGE-A 3/6. MKK3. RAFI, B-MYB, PLK, PCNA, PIMI, Cyc B2 and Cyc D1 genes contain E2F-1 and/or B-Myb DNA-binding sites in their promoter sequences. All these data strongly validate and explain the downregulation of GRPR, MAGE-A 3/6, MKK3, RAFI, B-MYB, PLK, PCNA, PIMI, Cyc B2, and Cyc D1 in our system. In fact, the enhanced expression of pRb2/p130 downregulates E2F-1 and B-MYB expression (Figure 5). Interestingly, the promoter sequence of the NIK gene that does not contain E2F-1 and/or B-Myb DNAbinding sites is also downregulated by enhanced pRb2/ p130. No data are available for the human promoter sequence of the KPNA2 gene, instead.

The gastrin-releasing factor receptor gene (GRP-R) showed a 2.7-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction by microarray analysis. Downregulation of GRP-R was confirmed by RT-PCR. GRP-R is a member of the G protein-coupled receptor family and mediates important physiological actions of its specific ligand, the gastrointestinal hormone GRP. Many studies have found that GRP increases tumor cellular proliferation, leading to the hypothesis that this peptide hormone is a mitogen important for the growth of various cancers (Rozengurt, 1988). GRP has been implicated in the development of lung epithelium (Hoyt et al., 1993) and it is thought to be a growth factor involved in human lung carcinoma (Fathi et al., 1996). GRP-R has mitogenic activity and it is produced in an autocrine fashion in small and nonsmall lung carcinoma (Siegfried et al., 1999). Therefore. the preliminary data that pRb2/p130 downregulates the transcription of this mitogen in lung cancer is an important hint that many and different pathways may be regulated by this member of the retinoblastoma family.

The karyopherin KPNA2 showed a 3.8-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction by microarray analysis. Downregulation of KPNA2 was confirmed by RT-PCR and Western blot analyses. The active transport of proteins into the nucleus requires an array of proteins, including kuryopherin. KPNA2 is one of six forms of kuryopherin alpha proteins. Recently, it was shown that KPNA2 is able to interact with the p65 subunit of nuclear factorκΒ (NF-κΒ) (Cunningham et al., 2003). NF-κΒ activation requires removal and degradation of its inhibitor kB, an event that occurs after phosphorylation of inhibitor κB by a complex of inhibitor κB kinases. These events allow NF-kB to translocate into the nucleus, to bind DNA, and regulate gene transcription. NF-kB nuclear translocation plays an important role in preventing apoptotic cell death in some cancers. Recently, it was shown that inhibition of NF- κ B enhances apoptosis in human lung adenocarcinoma cells in vitro (Milligan and Nopajaroonsri, 2001). Therefore, it is possible to hypothesize that down-regulation of KPN.42 could evade, in part, NF- κ B nuclear translocation and subsequently, its antiapoptotic effect.

MAGE genes were initially identified because they encode tumor antigens that are recognized by cytolytic T lymphocytes derived from blood lymphocytes of cancer patients (Van den Eynde and van der Bruggen. 1997). The MAGE gene family is composed of 23 related genes divided into four clusters. The MAGE-A subfamily is very appealing for its potential as antitumor immunotherapic because MAGE-A proteins are strictly tumor specific. Seven MAGE-A genes (MAGE-A1, -A2, -A3, -A4, -A6, -A10, and -A12) have been found highly. transcribed in a large proportion of tumors of various histological origins, such as metastatic melanomas, testicular germ cell tumors, lung cancer, sarcoma, mammary tumors, and colon carcinomas (Ohman Forslund and Nordqvist, 2001). Recently, MAGE-A1, 3. 6, 12, and 4b subfamily members have also been proposed as candidate biomarkers in lung carcinoma (Sugita et al., 2002). Our microarray analysis revealed that MAGE-A 3/6 genes are downregulated with a ratio of 2.5 and these data were confirmed by Western blot analysis, suggesting an interesting link between MAGE-A 3/6 genes, RB2/p130, and lung cancer.

MKK3 showed a 15.8-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction by microarray analysis. Downregulation of MKK3 was confirmed by RT-PCR and Western blot analyses. MKK3 specifically activates p38-MAPK that regulates inflammation. apoptosis, and development (Schaeffer and Weber, 1999). Very recently, it has been shown that p38-MAPK is activated in NSCLC. suggesting an additional role of this kinase in malignant cell growth or transformation (Greenberg et al., 2002). Additionally. MKK3 has also been found to be homozygously deleted in a lung tumor cell line. All these data suggest that there is a close relation between this gene and lung carcinogenesis (Teng et al., 2001).

NIK showed a 6.6-fold reduction of expression with respect to the control 48 h after pRo2/p130 transduction by microarray analysis. Downregulation of NIK was confirmed by RT-PCR and Western blot analyses. NIK is a protein kinase able to upregulate NF-κB (Malinin et al., 1997) that is highly expressed in NSCLC (Mukhopadhyay et al., 1995), playing an important role in anchorage-independent and metastatic growth of lung carcinoma cells (Jiang et al., 2001).

The proto-oncogene Pim-1 (PIM-1) showed a 2.2-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction by microarray analysis. Downregulation of PIM-1 was confirmed by RT-PCR analysis. PIM-1 is a serine/threonine kinase that when overexpressed is involved in lymphomagenesis. PIM-1 also associates with protein complexes necessary for mitosis and maps to an area on chromosome 6, which is

close to a region that is amplified in NSCLC (Bhatta-charya et al., 2002).

Proliferating cell nuclear antigen (PCNA) showed a 16.9-fold reduction of expression with respect to the control 48 h after pRb2.p130 transduction by microarray analysis. Downregulation of PCNA was validated only by RT-PCR. PCNA is a nuclear protein acting as a cofactor for DNA polymerase delta (Mathews et al., 1984). PCNA has been used as a proliferation and prognostic marker in a variety of malignancies, including lung tumors (Kawai et al., 1994) and it is an important prognostic factor of clinical outcome in patients with NSCLC (Volm and Koomagi, 2000).

Unfortunately, PCNA has not lived up to its early promise as a cellular proliferation marker. The relatively long half-life of the protein extends its expression beyond the S phase into the M phase and beyond again into the G0 phase of cells in rapidly proliferating tumors. This could in part explain why we did not find protein downregulation when compared to its transcriptional modulation following RB2/p130-enhanced expression. In fact, recently Markey et al. (2002) found that in a doxocycline-inducible RB1 system, PCNA does not change at the protein level after 48 h from induced RB1 expression, suggesting that the failure to change could reflect a relatively long PCNA half-life.

PLK1 showed a sevenfold reduction of expression with respect to the control 48h after pRb2/p130 transduction by microarray analysis. Downregulation of PLKI was confirmed by RT-PCR and Western blot analyses. PLKI is a member of PLKs serine-threonine kinases that are highly conserved during evolution. More evidence supports the concept that Plks regulate different cell-cycle stages throughout mitosis, including its initiation by activating Cdc2 through Cdc25 and direct phosphorylation of cyclin B1 targeting Cdc2/ cyclin B1 to the nucleus. Moreover, Plks are key regulators of cytokinesis (Glover et al., 1998: Nigg. 1998). PLKI is involved in centrosome maturation. DNA damage checkpoint adaptation, bipolar spindle formation, and activation of Cdc16, Cdc27 as components of the anaphase-promoting complex for mitotic exit. PLK1 is overexpressed in various human tumors, for example, NSCLC, squamous cell carcinomas of the head and neck, melanomas, endometrial, and ovarian carcinomas (Yuan et al., 1997, 2002). It has also been recently shown that the constitutive expression of PLKI may contribute to cancer progression (Smith et al., 1997). PLKI overexpression is also a negative prognostic factor in patients suffering from NSCLC (Wolf et al... - 1997). All these data, taken together, suggest for the first time a link between a member of the PLK family. RB2/ p130, and lung cancer.

RAF-1 showed a 2.1-fold reduction of expression with respect to the control 48 h after pRb2/p130 transduction

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by microarray analysis. Downregulation of RAF-1 was validated by RT-PCR and Western blot analyses. The serine/threonine kinase Raf-1 is the first downstream effector of Ras to be identified. Activation of this kinase is necessary and sufficient for the activation of a kinase cascade consisting of MEK1.2 and ERK 1.2 (Marshall. 1994). Activation of the pathway RAFI/MEK/ERK plays an important role in gene expression control during cell cycle, apoptosis, cell differentiation, and migration and is frequently associated with transformation of primary cells and tumor progression. In fact, recent studies suggest a possible role of Raf-1 in lung tumorigenesis (Yano et al., 1999; Ramakrishna et al., 2002).

In a typical cell, it has been estimated that most of the expressed genes can be expressed in abundance class at (or below) the detection threshold of microarray technology. This includes many genes that do not need to be expressed at extremely high levels in order to be biologically active, such as growth factors and transcriptional regulators (Fambrough et al., 1999). Therefore, a more sensitive method such as semiquantitative RT-PCR analysis could be an acceptable strategy, not only to validate microarray data but also to identify significantly induced genes whose transcripts belong to lower abundance classes.

Our microarray analysis indicates that adenovirus-mediated enhanced expression of the tumor suppressor gene RB2/p130 in the H23 human lung cancer cell line downregulated a variety of genes involved in many cellular processes including cell division, cell signaling/cell communication, cell structure/motility, gene expression, and metabolism. Adenovirus-mediated expression of pRb2/p130 upregulated a cluster of 28 genes with an average ratio between 2.0 and 8.5 (data not shown), and some of them have been found to be previously linked to lung cancer. Further studies are needed to investigate the significance of these genes' regulation by pRb2/p130 better.

This study identifies a cluster of genes that are modulated by Rb2/p130 expression. Although part of these genes could not be the target of pRb2/p130 at its physiological level, most of them might mediate new potential therapeutical effects of RB2/p130 in lung cancer. Therefore, we feel that these studies, by bringing about a better understanding of ample spectra of proteins expression, are essential because they could identify new cancer biomarkers and could facilitate matching the appropriate therapies to lung cancer, thereby maximizing therapeutic efficacy and minimizing toxicity.

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